

Attachment to the FATCA/CRS Self-certification – Controlling Persons

(Not for use by Individual Account Holders)

This document is an attachment to the CRS resp. FATCA&CRS original self-certification made by the

Legal entity/arrangement

that was signed on

DD - MM - YYYY

The purpose of this attachment is to add additional Controlling Persons to the ones that were provided in the original self-certification.

Controlling Person 7						
First/Given and Middle Name(s)		Family Name(s)/Surname(s)			Date of Birth (DD-MM-YYYY)	
					-	
Current residence address					City/Town of Birth	
City/Town		ZIP/Post code	Country		Country of Birth	
Country of Tax Residence 1		TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C	Please explain if you selected: 'B' or 'C':		
Country of Tax Residence 2		TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C	Please explain if you selected: 'B' or 'C':		
Controlling person type	Legal Person:	<input type="radio"/> Control by Ownership	<input type="radio"/> Control by other means	<input type="radio"/> Senior Managing Official		U.S. Person
	Legal Arrangement - Trust:	<input type="radio"/> Settlor	<input type="radio"/> Trustee	<input type="radio"/> Protector	<input type="radio"/> Beneficiary	<input type="radio"/> Other
	Legal Arrangement - Other:	<input type="radio"/> Settlor Equivalent	<input type="radio"/> Trustee Equivalent	<input type="radio"/> Protector Equivalent	<input type="radio"/> Beneficiary Equivalent	<input type="radio"/> Other Equivalent
						<input type="radio"/> YES
						<input type="radio"/> NO

Controlling Person 8						
First/Given and Middle Name(s)		Family Name(s)/Surname(s)			Date of Birth (DD-MM-YYYY)	
					-	
Current residence address					City/Town of Birth	
City/Town		ZIP/Post code	Country		Country of Birth	
Country of Tax Residence 1		TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C	Please explain if you selected: 'B' or 'C':		
Country of Tax Residence 2		TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C	Please explain if you selected: 'B' or 'C':		
Controlling person type	Legal Person:	<input type="radio"/> Control by Ownership	<input type="radio"/> Control by other means	<input type="radio"/> Senior Managing Official		U.S. Person
	Legal Arrangement - Trust:	<input type="radio"/> Settlor	<input type="radio"/> Trustee	<input type="radio"/> Protector	<input type="radio"/> Beneficiary	<input type="radio"/> Other
	Legal Arrangement - Other:	<input type="radio"/> Settlor Equivalent	<input type="radio"/> Trustee Equivalent	<input type="radio"/> Protector Equivalent	<input type="radio"/> Beneficiary Equivalent	<input type="radio"/> Other Equivalent
						<input type="radio"/> YES
						<input type="radio"/> NO

1. If a TIN is unavailable, please provide the appropriate reason A, B or C in line with the definition under part 3.

Controlling Person 9

First/Given and Middle Name(s)		Family Name(s)/Surname(s)		Date of Birth (DD-MM-YYYY) - -			
Current residence address				City/Town of Birth			
City/Town		ZIP/Post code	Country		Country of Birth		
Country of Tax Residence 1	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Country of Tax Residence 2	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Controlling person type	Legal Person:	<input type="radio"/> Control by Ownership	<input type="radio"/> Control by other means	<input type="radio"/> Senior Managing Official		U.S. Person	
	Legal Arrangement - Trust:	<input type="radio"/> Settlor	<input type="radio"/> Trustee	<input type="radio"/> Protector	<input type="radio"/> Beneficiary	<input type="radio"/> Other	<input type="radio"/> YES
	Legal Arrangement - Other:	<input type="radio"/> Settlor Equivalent	<input type="radio"/> Trustee Equivalent	<input type="radio"/> Protector Equivalent	<input type="radio"/> Beneficiary Equivalent	<input type="radio"/> Other Equivalent	<input type="radio"/> NO

Controlling Person 10

First/Given and Middle Name(s)		Family Name(s)/Surname(s)		Date of Birth (DD-MM-YYYY) - -			
Current residence address				City/Town of Birth			
City/Town		ZIP/Post code	Country		Country of Birth		
Country of Tax Residence 1	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Country of Tax Residence 2	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Controlling person type	Legal Person:	<input type="radio"/> Control by Ownership	<input type="radio"/> Control by other means	<input type="radio"/> Senior Managing Official		U.S. Person	
	Legal Arrangement - Trust:	<input type="radio"/> Settlor	<input type="radio"/> Trustee	<input type="radio"/> Protector	<input type="radio"/> Beneficiary	<input type="radio"/> Other	<input type="radio"/> YES
	Legal Arrangement - Other:	<input type="radio"/> Settlor Equivalent	<input type="radio"/> Trustee Equivalent	<input type="radio"/> Protector Equivalent	<input type="radio"/> Beneficiary Equivalent	<input type="radio"/> Other Equivalent	<input type="radio"/> NO

Controlling Person 11

First/Given and Middle Name(s)		Family Name(s)/Surname(s)		Date of Birth (DD-MM-YYYY) - -			
Current residence address				City/Town of Birth			
City/Town		ZIP/Post code	Country		Country of Birth		
Country of Tax Residence 1	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Country of Tax Residence 2	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Controlling person type	Legal Person:	<input type="radio"/> Control by Ownership	<input type="radio"/> Control by other means	<input type="radio"/> Senior Managing Official		U.S. Person	
	Legal Arrangement - Trust:	<input type="radio"/> Settlor	<input type="radio"/> Trustee	<input type="radio"/> Protector	<input type="radio"/> Beneficiary	<input type="radio"/> Other	<input type="radio"/> YES
	Legal Arrangement - Other:	<input type="radio"/> Settlor Equivalent	<input type="radio"/> Trustee Equivalent	<input type="radio"/> Protector Equivalent	<input type="radio"/> Beneficiary Equivalent	<input type="radio"/> Other Equivalent	<input type="radio"/> NO

1. If a TIN is unavailable, please provide the appropriate reason A, B or C in line with the definition under part 3.

Controlling Person 12

First/Given and Middle Name(s)		Family Name(s)/Surname(s)		Date of Birth (DD-MM-YYYY) - -			
Current residence address				City/Town of Birth			
City/Town		ZIP/Post code	Country		Country of Birth		
Country of Tax Residence 1	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Country of Tax Residence 2	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Controlling person type	Legal Person:	<input type="radio"/> Control by Ownership	<input type="radio"/> Control by other means	<input type="radio"/> Senior Managing Official		U.S. Person	
	Legal Arrangement - Trust:	<input type="radio"/> Settlor	<input type="radio"/> Trustee	<input type="radio"/> Protector	<input type="radio"/> Beneficiary	<input type="radio"/> Other	<input type="radio"/> YES
	Legal Arrangement - Other:	<input type="radio"/> Settlor Equivalent	<input type="radio"/> Trustee Equivalent	<input type="radio"/> Protector Equivalent	<input type="radio"/> Beneficiary Equivalent	<input type="radio"/> Other Equivalent	<input type="radio"/> NO

Controlling Person 13

First/Given and Middle Name(s)		Family Name(s)/Surname(s)		Date of Birth (DD-MM-YYYY) - -			
Current residence address				City/Town of Birth			
City/Town		ZIP/Post code	Country		Country of Birth		
Country of Tax Residence 1	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Country of Tax Residence 2	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Controlling person type	Legal Person:	<input type="radio"/> Control by Ownership	<input type="radio"/> Control by other means	<input type="radio"/> Senior Managing Official		U.S. Person	
	Legal Arrangement - Trust:	<input type="radio"/> Settlor	<input type="radio"/> Trustee	<input type="radio"/> Protector	<input type="radio"/> Beneficiary	<input type="radio"/> Other	<input type="radio"/> YES
	Legal Arrangement - Other:	<input type="radio"/> Settlor Equivalent	<input type="radio"/> Trustee Equivalent	<input type="radio"/> Protector Equivalent	<input type="radio"/> Beneficiary Equivalent	<input type="radio"/> Other Equivalent	<input type="radio"/> NO

Controlling Person 14

First/Given and Middle Name(s)		Family Name(s)/Surname(s)		Date of Birth (DD-MM-YYYY) - -			
Current residence address				City/Town of Birth			
City/Town		ZIP/Post code	Country		Country of Birth		
Country of Tax Residence 1	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Country of Tax Residence 2	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Controlling person type	Legal Person:	<input type="radio"/> Control by Ownership	<input type="radio"/> Control by other means	<input type="radio"/> Senior Managing Official		U.S. Person	
	Legal Arrangement - Trust:	<input type="radio"/> Settlor	<input type="radio"/> Trustee	<input type="radio"/> Protector	<input type="radio"/> Beneficiary	<input type="radio"/> Other	<input type="radio"/> YES
	Legal Arrangement - Other:	<input type="radio"/> Settlor Equivalent	<input type="radio"/> Trustee Equivalent	<input type="radio"/> Protector Equivalent	<input type="radio"/> Beneficiary Equivalent	<input type="radio"/> Other Equivalent	<input type="radio"/> NO

1. If a TIN is unavailable, please provide the appropriate reason A, B or C in line with the definition under part 3.

Controlling Person 15

First/Given and Middle Name(s)		Family Name(s)/Surname(s)		Date of Birth (DD-MM-YYYY) - -			
Current residence address				City/Town of Birth			
City/Town		ZIP/Post code	Country		Country of Birth		
Country of Tax Residence 1	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Country of Tax Residence 2	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Controlling person type	Legal Person:	<input type="radio"/> Control by Ownership	<input type="radio"/> Control by other means	<input type="radio"/> Senior Managing Official		U.S. Person	
	Legal Arrangement - Trust:	<input type="radio"/> Settlor	<input type="radio"/> Trustee	<input type="radio"/> Protector	<input type="radio"/> Beneficiary	<input type="radio"/> Other	<input type="radio"/> YES
	Legal Arrangement - Other:	<input type="radio"/> Settlor Equivalent	<input type="radio"/> Trustee Equivalent	<input type="radio"/> Protector Equivalent	<input type="radio"/> Beneficiary Equivalent	<input type="radio"/> Other Equivalent	<input type="radio"/> NO

Controlling Person 16

First/Given and Middle Name(s)		Family Name(s)/Surname(s)		Date of Birth (DD-MM-YYYY) - -			
Current residence address				City/Town of Birth			
City/Town		ZIP/Post code	Country		Country of Birth		
Country of Tax Residence 1	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Country of Tax Residence 2	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Controlling person type	Legal Person:	<input type="radio"/> Control by Ownership	<input type="radio"/> Control by other means	<input type="radio"/> Senior Managing Official		U.S. Person	
	Legal Arrangement - Trust:	<input type="radio"/> Settlor	<input type="radio"/> Trustee	<input type="radio"/> Protector	<input type="radio"/> Beneficiary	<input type="radio"/> Other	<input type="radio"/> YES
	Legal Arrangement - Other:	<input type="radio"/> Settlor Equivalent	<input type="radio"/> Trustee Equivalent	<input type="radio"/> Protector Equivalent	<input type="radio"/> Beneficiary Equivalent	<input type="radio"/> Other Equivalent	<input type="radio"/> NO

Controlling Person 17

First/Given and Middle Name(s)		Family Name(s)/Surname(s)		Date of Birth (DD-MM-YYYY) - -			
Current residence address				City/Town of Birth			
City/Town		ZIP/Post code	Country		Country of Birth		
Country of Tax Residence 1	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Country of Tax Residence 2	TIN	Reason no TIN ¹ : <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C		Please explain if you selected: 'B' or 'C':			
Controlling person type	Legal Person:	<input type="radio"/> Control by Ownership	<input type="radio"/> Control by other means	<input type="radio"/> Senior Managing Official		U.S. Person	
	Legal Arrangement - Trust:	<input type="radio"/> Settlor	<input type="radio"/> Trustee	<input type="radio"/> Protector	<input type="radio"/> Beneficiary	<input type="radio"/> Other	<input type="radio"/> YES
	Legal Arrangement - Other:	<input type="radio"/> Settlor Equivalent	<input type="radio"/> Trustee Equivalent	<input type="radio"/> Protector Equivalent	<input type="radio"/> Beneficiary Equivalent	<input type="radio"/> Other Equivalent	<input type="radio"/> NO

1. If a TIN is unavailable, please provide the appropriate reason A, B or C in line with the definition under part 3.

Declaration and Signature

As a representative authorised to sign on behalf of the entity:

I undertake to provide ING with true and accurate information, and to notify ING of any change in circumstances that may cause any information included on this form to become incorrect by providing a true and accurately updated form within **30 days of such change**.

I declare that, if applicable, I have obtained permission from the Controlling Persons mentioned above to disclose information related to them for the purpose of it being reported to the tax authorities.

I acknowledge that the information contained in this form and information regarding the Account Holder and/or Controlling Person(s) and any reportable account(s) may be reported to the tax authorities of the country/jurisdiction in which this account(s) is/are maintained and exchanged with tax authorities of another country/jurisdiction or countries/jurisdictions in which the Account Holder and/or Controlling Person(s) may be tax resident pursuant to intergovernmental/multilateral agreements to exchange financial account information.

I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete.

I acknowledge that in certain jurisdictions, applicable laws prescribe that failure to comply with the aforementioned information obligations may result in an enforcement action, taken by a competent public authority. An example hereof is a monetary fine.

Authorised representative 1

Date - - DD-MM-YYYY

First and middle name(s)

Last name _____

Signature

Authorised representative 2

Date - - DD-MM-YYYY

First and middle name(s)

Last name _____

Signature

Authorised representative 3

Date - - DD-MM-YYYY

First and middle name(s)

Last name _____

Signature

Authorised representative 4

Date - - DD-MM-YYYY

First and middle name(s)

Last name _____

Signature