MiFID Professional Client Status Request

Your right to opt-up
Based on the available information, ING Wholesale Banking has categorised you as **retail client.** If you meet at least two out of the following three criteria you may request to be categorised as professional client. If you are categorised as professional client, you will have access to a broader product offerings, but it will have an adverse effect on the level of your investor protection, which will be explained below.

The criteria to be categorised as professional client are as follows:

1. You have carried out transactions, in significant size, on relevant market at an average frequency of 10 per quarter over the previous four quarters.
2. The size of your financial instrument portfolio, defined as including cash deposits and financial instruments, exceeds EUR 500 000.
3. You work or have worked in the financial sector for at least one year in a professional position which requires knowledge of transactions or services envisaged.

You must meet at least 2 out of the 3 abovementioned criteria if you request us to be categorised as professional client. On the basis of the information available to us, we will assess your knowledge and experience regarding the investment services and financial instruments for which you may qualify as professional client. For this assessment we will partially apply regulatory criteria.

If we determine that you have the requisite knowledge and experience to adequately take your own investment decisions and with regards to more complex products understand the associated risk assessments, we will categorise you as professional client. It may be possible that we cannot honour your request for all investment services or financial instruments. We reserve the right to reject your request as a whole in such a case.

Reduced level of investor protection as a result of your opt-up request
If we, in line with your request, have categorised you as professional client, you are granted fewer protections than if you were categorised as retail client. In particular:

i. You are likely to receive less information about us, our services and your investments (for example on costs, commissions, fees and charges).
ii. When assessing the appropriateness of a product or service, the regulation allows us to assume that you have sufficient knowledge and experience to understand the relevant investment risks.
iii. If we are required to assess the suitability of a personal recommendation, the regulation allows us to assume that you have sufficient experience and knowledge to understand the risks involved.
iv. When applying best execution we are not under all circumstances required to prioritise the total consideration of the transaction as being the most important factor in achieving the best possible result for you.
v. We are not required to inform you about disturbances which may hinder the proper and speedy execution of your order(s).
vi. Should we provide you with periodic statements, we are not required to provide them as frequently as for retail clients.
vii. You are likely to have fewer rights to compensation of loss in the event of our bankruptcy under any scheme under local law for the payment of compensation.

If you decide to make use of your above described rights, we kindly ask you complete and return this form. For your convenience we have enclosed a return envelope.

By signing this MiFID Professional Status Request you acknowledge that you are aware that you are granted less protection as explained above under (i) to (vii). In addition you confirm that you meet at least 2 out of the 3 conditions mentioned below. Please check the boxes of the conditions which are applicable to you:

- ◯ I have carried out transactions, in significant size, on relevant markets at an average frequency of 10 transactions per quarter over the previous four quarters.
- ◯ The size of my portfolio exceeds EUR 500,000, whereas portfolio is defined as including cash deposits and financial instruments.
- ◯ I work or have worked in the financial sector for at least one year in a professional position, which requires knowledge of entering into transactions and/ or obtain investment services.

Signature		Signature	
Name (capital letters)		Name (capital letters)	
Company		Company	
Function		Function	
Date	__ __ - __ __ - __ __ __ __	Date	__ __ - __ __ - __ __ __ __

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